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## **GROUP 3600**

3637

Please type a plus sign (+) inside this box + PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/395,106 Application Number TRANSMITTAL September 14, 1999 **Filing Date FORM** Westhoff et al. **First Named Inventor** Group Art Unit (to be used for all correspondence after initial filing) 3637 Tran A, Phi Dieu N **Examiner Name** POL-PT010.1 18 Attorney Docket Number Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers X Fee Transmittal Form (for an Application) to Group Appeal Communication to Board X Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer Form PTO-2038 (1 pg.) Express Abandonment Request Form PTO-1449 (with copies of Request for Refund 4 cited references thereon) Supplemental Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Reg. No. 20,477 LOUIS WEINSTEIN Firm Volpe and Koenig, P.C. Individual name Signature Date November 12, 2002 **CERTIFICATE OF MAILING** hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: November 12, 2002 LOUIS WEINSTEIN Typed or printed name November 12, 2002 Date

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GROUP 3600

PTO/SB/17 (10-02)

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## **FEE TRANSMITTAL** for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

800.00 TOTAL AMOUNT OF PAYMENT (\$)

| Complete if Known    |                    |  |  |  |  |  |
|----------------------|--------------------|--|--|--|--|--|
| Application Number   | 09/395,106         |  |  |  |  |  |
| Filing Date          | September 14, 1999 |  |  |  |  |  |
| First Named Inventor | Westhoff et al.    |  |  |  |  |  |
| Examiner Name        | TRAN A, PHI DIEU N |  |  |  |  |  |
| Art Unit             | 3637               |  |  |  |  |  |
| Attorney Docket No.  | POL-PT010.1        |  |  |  |  |  |

| METHOD OF PAYMENT (check all that apply)  |              | FEE CALCULATION (continued)  |              |             |  |           |  |  |  |
|---|--------------|--|--------------|-------------|--|-----------|--|--|--|
| Check X Credit card Money Other None  |              | 3. ADDITIONAL FEES   |              |             |  |           |  |  |  |
| Deposit Account:  |              | Entity   |              |             | •  |           |  |  |  |
| Denosit   | Fee<br>Code  | Fee<br>(\$)  | Fee<br>Code  | Fee<br>(\$) | Fee Description  | Fee Paid  |  |  |  |
| Account Number 22-0493  | 1051         | 130  | 2051         | 65          | Surcharge - late filing fee or oath                                |           |  |  |  |
| Deposit TV 1 1 TV 1 D C   | 1052         | 50   | 2052         | 25          | Surcharge - late provisional filing fee or                         |           |  |  |  |
| Name  |              | 130  | 1053         | 130         | cover sheet Non-English specification                              |           |  |  |  |
| The Commissioner is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments  Charge any additional fee(s) during the pendency of this application |              | 2,520  | 1812         |             | For filing a request for ex parte reexamination                    |           |  |  |  |
|   |              | 920*   | 1804         | 920*        | Requesting publication of SIR prior to                             |           |  |  |  |
|   | 4005         | 4.040*   | 4005         | 4 0 40#     | Examiner action  |           |  |  |  |
| Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.   |              | 1,840*   | 1805         | 1,840*      | Requesting publication of SIR after<br>Examiner action             | <b> </b>  |  |  |  |
| FEE CALCULATION   |              | 110  | 2251         | 55          | Extension for reply within first month                             |           |  |  |  |
| 1. BASIC FILING FEE   | 1252         | 400  | 2252         | 200         | Extension for reply within second month                            | 460.00    |  |  |  |
| Large Entity Small Entity   | 1253         | 920  | 2253         | 460         | Extension for reply within third month                             | 460.00    |  |  |  |
| Fee Fee Fee Fee Paid Code (\$) Code (\$)  | 1254         | 1,440  | 2254         | 720         | Extension for reply within fourth month                            | -         |  |  |  |
| 1001 740 2001 370 Utility filing fee  | 1255         | 1,960  | 2255         | 980         | Extension for reply within fifth month                             | 160.00    |  |  |  |
| 1002 330 2002 165 Design filing fee   | 1401         | 320  | 2401         | 160         | Notice of Appeal   | 160.00    |  |  |  |
| 1003 510 2003 255 Plant filing fee  | 1402         | 320  | 2402         | 160         | Filing a brief in support of an appeal                             |           |  |  |  |
| 1004 740 2004 370 Reissue filing fee  | 1403         | 280  | 2403         | 140         | Request for oral hearing   | <b></b>   |  |  |  |
| 1005 160 2005 80 Provisional filing fee   | 1451         | 1,510  | 1            | 1,510       | Petition to institute a public use proceeding                      | <b></b>   |  |  |  |
| SUBTOTAL (1) (\$) 0   | 1452         | 110  | 2452         |             | Petition to revive - unavoidable                                   | <b></b>   |  |  |  |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   |              | 1,280  | 1            | 640         | Petition to revive - unintentional                                 | <b>——</b> |  |  |  |
| Fee from  |              | 1,280  | 2501         |             | Utility issue fee (or reissue)                                     | <b></b>   |  |  |  |
| Total Claims 14 4 = 0 x 9 = 0   | 1502<br>1503 | 460  | 2502         |             | Design issue fee   | <b></b>   |  |  |  |
| Independent 5 11 = 0 x 42 = 0   |              | 620<br>130   | 2503<br>1460 | •           | Plant issue fee Petitions to the Commissioner                      | $\vdash$  |  |  |  |
| Claims  | 1460<br>1807 | 50   | 1807         | 50          | Processing fee under 37 CFR 1.17(q)                                |           |  |  |  |
| Large Entity   Small Entity   |              | 180  | 1806         |             | Submission of Information Disclosure Stmt                          | 180.00    |  |  |  |
| Fee Fee Fee Fee Description   | 1806         |  |              |             | Recording each patent assignment per                               | ,         |  |  |  |
| Code (\$)   | 8021         | 40   | 8021         | 40          | property (times number of properties)                              |           |  |  |  |
| 1201 84 2201 42 Independent claims in excess of 3   | 1809         | 740  | 2809         | 370         | Filing a submission after final rejection (37 CFR 1.129(a))        |           |  |  |  |
| 1203 280 2203 140 Multiple dependent claim, if not paid   | 1810         | 740  | 2810         | 370         | For each additional invention to be                                |           |  |  |  |
| 1204 84 2204 42 ** Reissue independent claims over original patent  | 1801         | 740  | <br>  2801   | 370         | examined (37 CFR 1.129(b)) Request for Continued Examination (RCE) |           |  |  |  |
| 1205 18 2205 9 ** Reissue claims in excess of 20  | 1802         | 900  | 1802         | 900         | Request for expedited examination                                  | $\vdash$  |  |  |  |
| and over original patent  |              |  | I            |             | of a design application  | <b>——</b> |  |  |  |
| SUBTOTAL (2) (\$) 0   |              | Other fee (specify)  *Reduced by Basic Filing Fee Paid  SUBTOTAL (3) (\$) 800.00 |              |             |  |           |  |  |  |
| **or number previously paid, if greater; For Reissues, see above  | *Redu        | uced by  | Basic        | Filing F    | ee Paid SUBTOTAL (3) (\$)  | 800.00    |  |  |  |

| SUBMITTED BY      |                 |                                      |        |           | (Complete (if applicable) |  |
|-------------------|-----------------|--------------------------------------|--------|-----------|---------------------------|--|
| Name (Print/Type) | Louis Weinstein | Registration No.<br>(Attorney/Agent) | 20,477 | Telephone | 215-568-6400              |  |
| Signature         | Je Wont         |                                      |        | Date      | November 12. 2002         |  |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.